

# THE ENI CROSS-BORDER COOPERATION PROGRAMME

## POLAND-BELARUS-UKRAINE 2014-2020

DECISION NUMBER C(2015) 9138 DATED 17 DECEMBER 2015

### Annual Report 2016-2017

[submitted on 12.02.2018]

#### I. Technical part

Article 77(2) of the Commission Implementing Regulation (EU) No 897/2014

## 1. IDENTIFICATION

Managing Authority	Ministry of Economic Development of the Republic of Poland, Territorial Cooperation Department
Head of the MA	Name: Rafał Baliński Title: Director of Territorial Cooperation Department Tel. Number: +48 22 273 81 50 Email: <a href="mailto:Rafal.Balinski@mr.gov.pl">Rafal.Balinski@mr.gov.pl</a>
Contact Person	Name: Rafał Baliński Title: Director of Territorial Cooperation Department Tel. number: +48 22 273 81 53 Email: <a href="mailto:Rafal.Balinski@mr.gov.pl">Rafal.Balinski@mr.gov.pl</a>
Reporting Period	From 1.07.2016 to 30.06.2017
Date of approval by the Joint Monitoring Committee	09.02.2018

## 2. KEEP DATABASE<sup>1</sup>

Not applicable, no projects selected in the Programme in the reporting period.

## 3. OVERVIEW OF THE IMPLEMENTATION OF THE PROGRAMME

### 3.1 Executive summary

The main achievement of the Programme implementation during the reporting period was launching 1st Call for Proposals (CfP) during the conference opening the 2014-2020 edition of the Programme on 11<sup>th</sup> of October 2016. The application packs for the CfP as well as for Large Infrastructures Projects (LIPs) were previously approved by the Joint Managing Authority (JMC) via written procedure finalised on 18<sup>th</sup> of August 2016. Before launching the CfP JTS-IB organized the Partner Search Forum in September in order to facilitate applicants to establish strong and fruitful partnerships. The CfP was opened for all Thematic Objectives of the Programme: HERITAGE, ACCESSIBILITY, SECURITY and BORDERS and all their priorities and was divided into two stages: Concept Notes (CN) and Full Application Forms (FAF). In the first stage all applicants had to submit their project ideas to the JTS-IB until end of the year 2016. In that period of time JTS-IB organized numerous seminars for applicants in 32 cities of three countries. As a result of the first stage of the CfP 749 CNs with requested financing from EU amounting to 1 129,48 mln EUR were submitted to the JTS-IB. Employees of the JTS-IB performed administrative and quality assessment of the received CNs. Then one meeting of the Project Selection Committee (PSC) was organized in Warsaw on 14<sup>th</sup>-15<sup>th</sup> of March 2017 in order to select the best 334 project ideas from TO HERITAGE and SECURITY for the second stage of the CfP. The best 82 project ideas from TO ACCESSIBILITY and BORDERS were chosen to the second stage by members of the PSC via written procedure finalised on 9<sup>th</sup> of May 2017. The deadline for for submission of FAFs by applicants invited to the second stage was set on 30<sup>th</sup> of June 2017 (TO HERITAGE and SECURITY) and on 4<sup>th</sup> of September 2017 (TO ACCESSIBILITY and

<sup>1</sup> A limited number of statistics are requested from you in this report based on the assumption that most data are available in the KEEP database - hence the importance of maintaining KEEP up to date.

<http://www.keep.eu/keep/>

BORDERS). For those applicants from TO HERITAGE and SECURITY JTS-IB organized in May 2017 seminars in 5 cities of three countries as well as individual consultations in June 2017 just before the deadline of submission the FAFs. The main goal of performed consultations was to increase the quality of prepared FAFs. By the end of June 2017 306 FAFs from TO HERITAGE and SECURITY with requested financing from EU amounting to 489,66 mln EUR were submitted to the JTS-IB.

The first step of the LIPs application process was launched on 1<sup>st</sup> of September 2016. According to the decision of the JMC, on this day the Lead beneficiaries of all LIPs were invited to submit their Project Summaries to the JTS-IB. The LIP's Project Summaries were to be submitted to the JTS-IB by 30<sup>th</sup> of November 2016. Then JTS-IB performed administrative and quality assessment of the received documents and JMC via written procedure finalised on 17<sup>th</sup> of January 2017 approved project summaries for submission to the European Commission. European Commission made its positive decision on the LIP's Project Summaries on 17<sup>th</sup> of May 2017. Therefore the JTS-IB invited all 18 applicants from the LIPs to submit their FAFs to the JTS-IB until end of June 2017.

No EU expenditure had been declared to the Commission in the reporting period.

At the end of 2016 Belarus and Ukraine signed the Financing Agreements on the Programme with the European Commission. Also at the end of the year 2016 the Branch Office (BO) in Rzeszow (Poland) was established according to the decision of the JMC made via written procedures on 8<sup>th</sup> of August 2016. The newly created BO shall assist the JTS-IB in carrying out its functions concerning providing information and communication activities for potential beneficiaries and assistance for beneficiaries in projects' implementation on the Polish part of the Programme territory.

### **3.2 Progress achieved in implementing the Programme and its Priorities**

Not applicable, no projects selected in the Programme during the reporting period.

Results and outputs by thematic objective and priority

**TO Heritage – Promotion of local culture and preservation of historical heritage**

**Priority: Promotion of local culture and history**

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Increased number of visitors of the historical heritage and cultural sites	Increased number of visitors of the historical heritage and cultural sites	[%]	0,00	0,00	0,00	0,00
			16,60			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

**Priority: Promotion and preservation of natural heritage**

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Increased number of visitors of the natural heritage sites	Increased number of visitors of the natural heritage sites	[%]	0,00	0,00	0,00	0,00
			13,10			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Result Indicators (RI)*	Output indicators (OI)* (common OI to be included in grey shaded cells)	OI units*	OI [cumulative figure from previous reports]	OI [current report]	OI [Total cumulative figure]
		OI targets*			
Increased number of visitors of the historical heritage and cultural sites	Number of improved cultural and historical sites as direct consequence of programme support (ENI/CBC 7)	[cultural and historical sites]	0	0	0
		30			
	Number of cross-border cultural events organized using ENI support (ENI/CBC 8)	[events]	0	0	0
		97			
Increased number of	Number of cross-border events	[events]	0	0	0

visitors of the natural heritage sites	organized using programme support	63			
	Number of promoted and/or preserved natural sites as direct consequence of programme support	[natural sites]	0	0	0
		15			
	Number of persons participating in actions and awareness raising activities promoting preservation of natural heritage	[persons]	0	0	0
5 993					

\* as specified in the JOP

## TO Accessibility – Improvement of accessibility to the regions, development of sustainable and climate-proof transport and communication networks and systems

Priority: Improvement and development of transport services and infrastructure

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Decrease of travel/transportation time in the regions	Decrease of travel/transportation time in the regions	[%]	0,00	0,00	0,00	0,00
			7,50			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Priority: Development of ICT infrastructure

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Increase in usage of ICT	Increase in usage of ICT	[number of districts]	0	0	0	0
			18			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Result Indicators (RI)*	Output indicators (OI)* (common OI to be included in grey shaded cells)	OI units*	OI [cumulative figure from	OI [current report]	OI [Total cumulative figure]
		OI targets*			

			previous reports]		
Decrease of travel/transportation time in the regions	Total length of newly built roads (ENI/CBC 26)	[km]	0,00	0,00	0,00
		5,60			
	Total length of reconstructed or upgraded roads (ENI/CBC 27)	[km]	0,00	0,00	0,00
		102,90			
	Number of districts benefitting from modernized/created transport services and infrastructure	[districts]	0	0	0
		28	0	0	0
Number of partnerships established in order to modernize/create the environmentally friendly transport systems or services	[partnerships]	0	0	0	
	14	0	0	0	
Increase in usage of ICT	Number of partnerships established in order to develop the ICT	[partnerships]	0	0	0
		7			

\* as specified in the JOP

## TO Security – Common challenges in the field of safety and security

Priority: Support to the development of health protection and social services

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Enhanced access to health care and social services	Enhanced access to health care and social services	[%]	0,00	0,00	0,00	0,00
			36,20			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Priority: Addressing common security challenges

Expected	Result	RI	RI Baselines*	RI [1 <sup>st</sup>	RI [2 <sup>nd</sup>	RI [final
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results*	Indicators (RI)*	units*	RI Targets*	measure]**	measure]**	measure]**
Reduction of waiting time for safety and security services response	Reduction of waiting time for safety and security services response	[%]	13,20	0,00	0,00	0,00
			18,40			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Result Indicators (RI)*	Output indicators (OI)* (common OI to be included in grey shaded cells)	OI units*	OI [cumulative figure from previous reports]	OI [current report]	OI [Total cumulative figure]
		OI targets*			
Enhanced access to health care and social services	Population covered by improved health services as direct consequence of the support (ENI/CBC 30)	[persons]	0	0	0
		7 890 573			
	Population benefiting from the newly created or improved social services	[persons]	0	0	0
		27 960			
Reduction of waiting time for safety and security services response	Population benefiting from fire protection measures services as direct consequence of the support	[persons]	0	0	0
		3 689 232			
	Number of security institutions cooperating across the borders.	[security institutions]	0	0	0
		22			

\* as specified in the JOP

## TO Borders – Promotion of border management and border security, mobility and migration management

Priority: Support to border efficiency and security

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Acceleration of passengers and cars	Acceleration of passengers	[%]	39,50	0,00	0,00	0,00

border clearance	and cars border clearance		40,00			
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\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Priority: Improvement of border management operations, customs and visas procedures

Expected results*	Result Indicators (RI)*	RI units*	RI Baselines*	RI [1 <sup>st</sup> measure]**	RI [2 <sup>nd</sup> measure]**	RI [final measure]**
			RI Targets*			
Increased efficiency of border clearance	Increased efficiency of border clearance	[%]	32,50	0,00	0,00	0,00
			41,00			

\* as specified in the JOP or as measured before the 1<sup>st</sup> call for proposals

\*\* to be provided if available and in any case in the final report

Result Indicators (RI)*	Output indicators (OI)* (common OI to be included in grey shaded cells)	OI units*	OI [cumulative figure from previous reports]	OI [current report]	OI [Total cumulative figure]
		OI targets*			
Acceleration of passengers and cars border clearance	Number of border crossing points with increased throughput capacity (ENI/CBC 35)	[BCP]	0	0	0
		7			
Increased efficiency of border clearance	Increased throughput capacity of persons on land border crossing points (ENI/CBC 38)	[persons/24 hours]	0	0	0
		10 200			

\* as specified in the JOP

### 3.3 Problems and achievements reported by project applicants and beneficiaries

#### 3.3.1 Summary of issues reported

Not applicable, no projects selected in the Programme during the reporting period.

#### 3.3.2 Success stories

Not applicable, no projects selected in the Programme during the reporting period.

### 3.4 Implementation of Large Infrastructure Projects (LIPs) (if applicable)

Not applicable, no grant contracts for LIPs signed in the Programme during the reporting period.

### 3.5 Financial implementation



### 3.5.1 Cumulative figures of previous reports:

Thematic objectives	EU allocation*	EU allocation of awarded projects		Amount of EU allocation accepted by the MA as eligible expenditure		Absorption rate in %	
		Standard projects	LIPs selected via direct award	Standard projects	LIPs selected via direct award	Standard projects	LIPs selected via direct award
HERITAGE	32 272 159,47	0	0	0	0	0	0
ACCESSIBILITY	55 855 660,59	0	0	0	0	0	0
SECURITY	44 270 782,82	0	0	0	0	0	0
BORDERS	33 099 650,72	0	0	0	0	0	0

\* as specified in the JOP as of December 2015 (*Commission Implementing Decision of 17.12.2015 on the Joint Operational Programme Poland-Belarus-Ukraine 2014-2020 for the ENI Cross-Border Cooperation programme for the years 2014-2020 to be financed from the general budget of the European Union*)

### 3.5.2 Figures for the reporting period:

Thematic objectives	EU allocation*	EU allocation of awarded projects		Amount of EU allocation accepted by the MA as eligible expenditure		Absorption rate in %	
		Standard projects	LIPs selected via direct award	Standard projects	LIPs selected via direct award	Standard projects	LIPs selected via direct award
HERITAGE	32 272 159,47	0	0	0	0	0	0
ACCESSIBILITY	55 855 660,59	0	0	0	0	0	0
SECURITY	44 270 782,82	0	0	0	0	0	0
BORDERS	33 099 650,72	0	0	0	0	0	0

\* as specified in the JOP

### 3.5.3 Total cumulative figures of previous and current reports:

Thematic objectives	EU allocation*	EU allocation of awarded projects		Amount of EU allocation accepted by the MA as eligible expenditure		Absorption rate in %	
		Standard projects	LIPs selected	Standard projects	LIPs selected	Standard projects	LIPs selected

			via direct award		via direct award		via direct award
HERITAGE	32 272 159,47	0	0	0	0	0	0
ACCESSIBILITY	55 855 660,59	0	0	0	0	0	0
SECURITY	44 270 782,82	0	0	0	0	0	0
BORDERS	33 099 650,72	0	0	0	0	0	0

\* as specified in the JOP

#### 4. PROGRAMME MANAGEMENT ACTIVITIES

##### 4.1 Summary of main activities

a)

- Main activities performed by JMC

During the reporting period no meetings of the JMC were organized. All decisions concerning Programme implementation were made by JMC members via written procedures. Except the decisions mentioned in the point 3.1 Executive summary, the JMC made also decisions on:

- the place of the seat of the JTS-IB;
- tender dossiers for service contracts to be operational in 2017;
- The Programme Manual Part I Applicant. 1st Call for proposals;
- PSC Rules of Procedures and Evaluation and Assessment Manual;
- appointment of the Project Selection Committee composition;
- approval of the first Annual Implementation Report;
- modifying certain assumptions of the first call for proposals;
- approval of Guidelines on expenditure verification;
- approval of the Programme annual plan of events for 2017;
- approval of pool of assessors within CFP;
- several changes in the Joint Operational Programme;

- Main activities of the MA undertaken in the reporting period:

- preparation (with the assistance of the JTS-IB) Programme documents submitted for the approval of the JMC;
- preparation of the Description of the Management and Control System for the Programme and declaration of the readiness to undergo the designation procedure;
- submission to the EC the Project Summaries for Large Infrastructure Projects
- participation in events/seminars/workshops/meetings on the Programme development as well as future perspective planning organized by TESIM, INTERACT or European Commission;
- participation in Programme events (Programme conference, seminars and trainings for the applicants.

➤ Main activities of the JTS-IB and BOs

During the reporting year the structure of the JTS-IB remained unchanged comparing to the previous year. The whole team was divided into two units – the Programme Unit and the Project Implementation Unit. Such organization was aimed at ensuring the high management performance and ensuring best quality of the JTS-IB work. In comparison to the previous year the JTS-IB has increased its staff from 18 to 20 people.

Within the reporting period the JTS-IB employees took part in several events/seminars/workshops/meetings on the Programme development as well as future perspective planning organized by TESIM, INTERACT or European Commission:

- 1<sup>st</sup> ENI CBC Communication Managers Network Meeting;
- Interreg Finance Management Camp;
- annual conference concerning ENI Programmes;
- work meeting concerning functioning of the KEEP database;
- Laboratory Group on Monitoring and evaluation and management information systems;
- Interreg response to migration challenges;
- work meeting concerning communication and promotion of the ENI CBC Programmes;
- meeting on organization issues of the EC Day 2017;
- Baltic Sea Future Congress;
- KEEP training and ENI CBC Communication managers network meeting;
- Baltic Development Forum;
- 2<sup>nd</sup> Laboratory Group On Large Infrastructure Projects “Assessment And Selection Process”.

The JTS-IB employees took part in various trainings improving their professional qualifications as well as foreign languages skills.

All the JTS-IB employees were involved in the activities related to the Programme implementation:

- providing consultations regarding participation in the Programme, submission of CNs, submission of FAFs within the Programme for all potential applicants;
- organization of the Partner Search Forum within the 1<sup>st</sup> CfP in Poland for applicants from Poland, Belarus and Ukraine;
- organization of the Programme Opening Conference in Ukraine;
- organization and conducting of the trainings within the 1<sup>st</sup> CfP on the Programme area (altogether 37 trainings in Poland, Belarus and Ukraine);
- organization and conducting of 2 trainings for LIPs in Belarus and 2 trainings in Ukraine;
- conducting individual consultations for approx. 190 applicants (altogether in 5 cities in Poland, Belarus and Ukraine);
- organization and conducting of the 1<sup>st</sup> meeting of the Cross-border Development Academy in Poland;
- organization of the training for Belarusian and Ukrainian external assessors in Ukraine.

In addition several working meetings of the JTS-IB staff with the BOs staff were organised regarding Programme and project related issues.

BOs in Poland, Belarus and Ukraine continued performing their tasks in the reporting period under the service contracts signed between MA and BOs. Main activities carried out by BOs, except

providing consultations regarding participation in the Programme and assistance to the JTS in daily tasks implementation, included

- organization and assistance in conducting of trainings for projects within 1<sup>st</sup> call for proposals and LIPs,
- organization of trainings on funding&partnership,
- organization and conducting of ECDays 2016 in all three countries;
- assistance to the JTS in organization of the Programme events e.g. Partner Search Forum in Lublin; Programme Opening Conference in Bukovel, CBC school competition,
- presentation of the Programme during important events like XVI Economic Forum in Lviv, X Forum Europe-Ukraine in Rzeszow.

➤ Main activities of the CCPs and NAs

With regards to functioning of the Contact Control Points (CCP) it has to be mentioned that first working meeting on Programme control procedures took place on 2<sup>nd</sup> of March 2017 in Kiev. During the meeting participants from Managing and National Authorities as well as employees of all CCPs discussed the Guidelines on expenditure verification.

Problems related to the Programme management activities were not identified. Regular working contacts between the MA, JTS-IB, BOs, CCPs and NAs can be mentioned as very useful for managing the Programme.

The NA in Poland prepared the Manual to be applicable for the programme “*Combating fraud in Cross-border Cooperation Programme Poland-Belarus-Ukraine 2014-2020*”. The Manual was made available on the programme website. Furthermore, the NA organized a conference for Polish stakeholders on combating fraud and anticorruption measures. The conference took place in Warsaw on 11<sup>th</sup> January 2017.

b) During the reporting period the Audit Authority consulted the MA regarding the designation process. On 12 of June 2017 the declarations of the MA and the JTS-IB on readiness to undergo the designation procedure were issued and submitted to the Audit Authority.

The MA was not yet designated, thus no expenditure have been declared to the European Commission before 30 June 2017 and no accounts for the accounting year started from the beginning of programme implementation and ended 30 June 2017 have been created. Therefore the Audit Authority did not work on the audit report for the reporting period and refrained from issuing the opinion on the functioning of the system.

## 4.2. Management of the calls for proposals

Within the first call for proposals of the Cross-border Cooperation Programme Poland-Belarus-Ukraine 2014-2020 749 Concept Notes were submitted to the JTS-IB. The total value of submitted projects' budgets was 1 258,73 mln EUR while the total value of requested co-financing - 1 129,48 mln EUR. This means it was 14 times bigger than the allocation of funds for the CfP. More than 2 300 organisations from three countries declared being ready to implement the projects. Detailed information on the number of projects from each priority, number of lead applicants and their partners from all the regions etc. is illustrated in the below tables and map.

**Table A: Projects by call and priority**

Thematic objective HERITAGE		Call n°1			Call n°2			Total		
		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
Promotion of local culture and history	Submitted	233	329 681 985,87	295 896 105,56	0	0	0	233	329 681 985,87	295 896 105,56
	Contracted*	0	0	0	0	0	0	0	0	0
Promotion and preservation of natural heritage	Submitted	119	205 519 639,02	184 110 025,28	0	0	0	119	205 519 639,02	184 110 025,28
	Contracted*	0	0	0	0	0	0	0	0	0
Total	Submitted	352	535 201 624,89	480 006 130,84	0	0	0	352	535 201 624,89	480 006 130,84
	Contracted*	0	0	0	0	0	0	0	0	0

\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table B: Projects by call and country of lead applicant/beneficiary**

Thematic objective	Call n°1	Call n°2	Total
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HERITAGE		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
POLAND	Submitted	264	417 055 253,51	374 497 725,47	0	0	0	264	417 055 253,51	374 497 725,47
	Contracted*	0	0	0	0	0	0	0	0	0
BELARUS	Submitted	21	31 874 984,36	27 923 358,43	0	0	0	21	31 874 984,36	27 923 358,43
	Contracted*	0	0	0	0	0	0	0	0	0
UKRAINE	Submitted	67	86 271 387,02	77 585 046,94	0	0	0	67	86 271 387,02	77 585 046,94
	Contracted*	0	0	0	0	0	0	0	0	0
Total	Submitted	352	535 201 624,89	480 006 130,84	0	0	0	352	535 201 624,89	480 006 130,84
	Contracted*	0	0	0	0	0	0	0	0	0

\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table A: Projects by call and priority**

Thematic objective ACCESSIBILITY	Call n°1			Call n°2			Total		
	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant

Improvement and development of transport services and infrastructure	Submitted	103	221 952 222,36	199 126 024,50	0	0	0	103	221 952 222,36	199 126 024,50
	Contracted*	0	0	0	0	0	0	0	0	0
Development of ICT infrastructure	Submitted	22	22 593 888,06	20 296 284,63	0	0	0	22	22 593 888,06	20 296 284,63
	Contracted*	0	0	0	0	0	0	0	0	0
Total	Submitted	125	244 546 110,42	219 422 309,13	0	0	0	125	244 546 110,42	219 422 309,13
	Contracted*	0	0	0	0	0	0	0	0	0

\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table B: Projects by call and country of lead applicant/beneficiary**

Thematic objective ACCESSIBILITY		Call n°1			Call n°2			Total		
		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
POLAND	Submitted	94	188 643 681,41	169 643 053,70	0	0	0	94	188 643 681,41	169 643 053,70
	Contracted*	0	0	0	0	0	0	0	0	0

BELARUS	Submitted	4	8 124 275,19	7 310 847,67	0	0	0	4	8 124 275,19	7 310 847,67
	Contracted*	0	0	0	0	0	0	0	0	0
UKRAINE	Submitted	27	47 778 153,82	42 468 407,76	0	0	0	27	47 778 153,82	42 468 407,76
	Contracted*	0	0	0	0	0	0	0	0	0
Total	Submitted	125	244 546 110,42	219 422 309,13	0	0	0	125	244 546 110,42	219 422 309,13
	Contracted*	0	0	0	0	0	0	0	0	0

\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table A: Projects by call and priority**

Thematic objective SECURITY		Call n°1			Call n°2			Total		
		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
Support to the development of health protection and social services	Submitted	172	303 913 003,25	273 060 652,68	0	0	0	172	303 913 003,25	273 060 652,68
	Contracted*	0	0	0	0	0	0	0	0	0
Addressing common	Submitted	76	141 868 083,92	127 115 301,82	0	0	0	76	141 868 083,92	127 115 301,82



<b>security challenges</b>	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>Submitted</b>	248	445 781 087,17	400 175 954,50	0	0	0	248	445 781 087,17	400 175 954,50
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0

\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table B: Projects by call and country of lead applicant/beneficiary**

Thematic objective SECURITY		Call n°1			Call n°2			Total		
		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
<b>POLAND</b>	<b>Submitted</b>	178	338 691 403,57	303 895 361,19	0	0	0	178	338 691 403,57	303 895 361,19
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
<b>BELARUS</b>	<b>Submitted</b>	14	19 561 930,92	17 565 580,02	0	0	0	14	19 561 930,92	17 565 580,02
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
<b>UKRAINE</b>	<b>Submitted</b>	56	87 527 752,68	78 715 013,29	0	0	0	56	87 527 752,68	78 715 013,29
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>Submitted</b>	248	445 781 087,17	400 175 954,50	0	0	0	248	445 781 087,17	400 175 954,50

	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
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\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table A: Projects by call and priority**

Thematic objective <b>BORDERS</b>		Call n°1			Call n°2			Total		
		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
<b>Support to border efficiency and security</b>	<b>Submitted</b>	13	17 729 707,00	15 955 929,60	0	0	0	13	17 729 707,00	15 955 929,60
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
<b>Improvement of border management operations, customs and visas procedures</b>	<b>Submitted</b>	11	15 466 956,76	13 920 260,28	0	0	0	11	15 466 956,76	13 920 260,28
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>Submitted</b>	24	33 196 663,76	29 876 189,88	0	0	0	24	33 196 663,76	29 876 189,88
	<b>Contracted*</b>	0	0	0	0	0	0	0	0	0

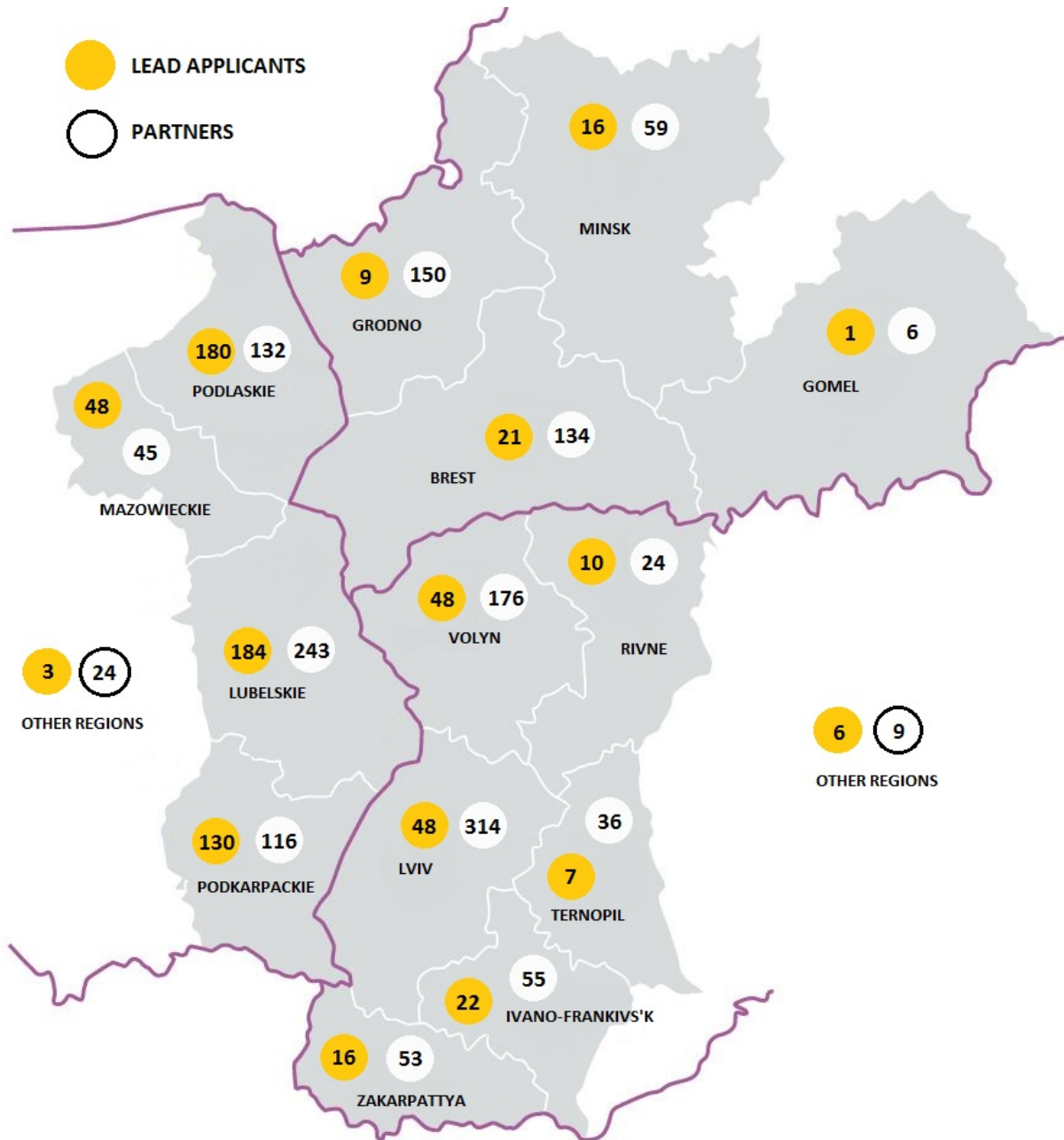
\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

**Table B: Projects by call and country of lead applicant/beneficiary**

Thematic objective <b>BORDERS</b>		Call n°1			Call n°2			Total		
		N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant	N° of projects	Total budget	EU grant
POLAND	Submitted	9	14 723 630,76	13 251 267,28	0	0	0	9	14 723 630,76	13 251 267,28
	Contracted*	0	0	0	0	0	0	0	0	0
BELARUS	Submitted	8	4 563 721,00	4 106 542,60	0	0	0	8	4 563 721,00	4 106 542,60
	Contracted*	0	0	0	0	0	0	0	0	0
UKRAINE	Submitted	7	13 909 312,00	12 518 380,00	0	0	0	7	13 909 312,00	12 518 380,00
	Contracted*	0	0	0	0	0	0	0	0	0
Total	Submitted	24	33 196 663,76	29 876 189,88	0	0	0	24	33 196 663,76	29 876 189,88
	Contracted*	0	0	0	0	0	0	0	0	0

\* If, at the time of report submission, projects have been selected for funding but not contracted yet, figures should be provided for the projects selected for funding (with an explanatory footnote).

Map A: Organisations involved in projects proposals submitted for funding



**Map B: Organisations involved in grant contracts/projects selected for funding**

Not applicable, no projects selected in the Programme in the reporting period.

**Table C: Organisations involved in projects proposals submitted for funding and in grant contracts**

Country	Eligible regions	N° of Lead applicants	N° of partners	N° of lead beneficiaries	N° of partner beneficiaries
POLAND	Lubelskie	184	243	0	0
	Mazowieckie	48	45	0	0
	Podkarpackie	130	116	0	0
	Podlaskie	180	132	0	0
	Other regions	3	24	0	0
BELARUS	Brest	21	134	0	0
	Gomel	1	6	0	0
	Grodno	9	150	0	0
	Minsk	16	59	0	0
UKRAINE	Ivano-Frankivska	22	55	0	0
	Lvivska	48	314	0	0
	Rivnenska	10	24	0	0
	Ternopilaska	7	36	0	0
	Volynska	48	176	0	0
	Zakarpatska	16	53	0	0
	Other regions	6	9	0	0
TOTAL		749	1 576	0	0

**4.3 Monitoring and evaluation (max 2 page)**

Not applicable, no projects selected in the Programme during the reporting period.

#### 4.4. Technical Assistance activities: Information and communication activities

According to the Commission Implementing decision of 18 December 2015 no. C(2015) 9197, all activities (preparatory actions) undertaken in the reported period in relation to the ENI Cross-Border Cooperation Programme Poland-Belarus-Ukraine 2014-2020 (i.e. information and communication activities, activities concerning programme website or programme publications) were financed from Technical Assistance of the ENPI Cross-Border Cooperation Programme Poland-Belarus-Ukraine 2007-2013.

##### 4.4.1 List of information and communication activities

Activity title and location	Aim of the activity	Target group	Message	Output	Results	Measures to ensure EU visibility
Partner Search Forum, Lublin, Poland (28/09/16)	To facilitate the future projects in finding partners and conceptualizing joint projects	Potential applicants of the 1 <sup>st</sup> CFP	Enhancing institutions to actively search for partners	<ul style="list-style-type: none"> <li>- filled in online database of partners on Programme website</li> <li>- printed out projects' ideas of institutions participating in the event</li> </ul>	<ul style="list-style-type: none"> <li>- potential applicants shared ideas of projects and exchanged contacts</li> </ul>	Visibility according to the requirements
Conference "Everlasting Partnership", Bukovel in Ukraine (11/10/16)	Conference closing the old 2007-2013 perspective and opening the new Programme 2014-2020	Beneficiaries of projects from 07-13, potential applicants of 14-20, people interested in the Programme, Programme bodies' representatives	Official closure of 07-13 and opening of 14-20 and official launch of the 1 <sup>st</sup> CFP	<ul style="list-style-type: none"> <li>- publication Crossborderer</li> <li>- promotional materials</li> <li>- conference presentations</li> </ul>	<ul style="list-style-type: none"> <li>- audience informed about successes of 07-13 and opportunities of 14-20</li> <li>- 1st CFP was launched</li> </ul>	Visibility according to the requirements
Series of trainings for potential	To inform in details on the	Potential applicants (14 cities in Poland for 903	Importance of correct	<ul style="list-style-type: none"> <li>- presentations</li> </ul>	<ul style="list-style-type: none"> <li>- applicants informed about call</li> </ul>	Visibility according to the requirements

<p>applicants of the 1<sup>st</sup> CfPs (multiple cities in Poland, Belarus and Ukraine) Nov and Dec 2016</p> <p>Trainings for lead beneficiaries of projects from 1<sup>st</sup> CfP (3 countries) May 2017</p> <p>Individual consultations with applicants(3 countries) June 2017</p>	<p>requirements of the 1<sup>st</sup> CfP</p>	<p>people, 8 cities in Belarus for 485 people, 12 cities in Ukraine for 810 people + 4 trainings for lead beneficiaries for 750 people (493 in Poland, 157 in Ukraine, 98 in Belarus)</p>	<p>preparation of the proposal</p>		<p>requirements and clarified doubts</p>	
<p>Trainings for LIPs (4 cities in 3 countries) September and October 2016</p>	<p>To assist LIPs' beneficiaries in preparing better quality applications</p>	<p>LIPs' lead beneficiaries and partners</p>	<p>Importance of correct preparation of the application</p>	<p>- presentations</p>	<p>- beneficiaries informed about the requirements and clarified doubts</p>	<p>Visibility according to the requirements</p>
<p>II Cross-border Academy of Development, Janów Podlaski, Poland (01/12/16)</p>	<p>To inform about the Programme, 1<sup>st</sup> CfP and attract new audience</p>	<p>Potential applicants, people interested in the Programme</p>	<p>Wider information and scientific background for Heritage TO</p>	<p>- publication summarising the I and II Academy</p>	<p>- TO Heritage more explained - people informed about the Programme and call</p>	<p>Visibility according to the requirements</p>
<p>Official opening of Polish Branch Office in</p>	<p>To open a new BO of the Programme</p>	<p>General audience</p>	<p>New branch office in the Programme</p>	<p>n/a</p>	<p>- new BO ready to function and assist applicants</p>	<p>Visibility according to the requirements</p>

Rzeszów, (12/12/16)			area ready to assist applicants			
External events with participation of Programme representatives: •Europe-Ukraine Forum in Rzeszów-Jasionka •European Congress of Local Governments in Kraków •Meeting of universities with European Funds in Lviv and Ivano- Frankivsk •European Funds Open Days in Rzeszów-Jasionka •Local Development Forum in Truskavets	To inform about the Programme and its opportunities and impact	General audience	Example of EU support of cross-border cooperation on its eastern border	- brochures, leaflets, promotional items - articles - presentations	General audience informed about the Programme	Visibility according to the requirements
„Orły Wprost” Awards Gala in Rzeszów, 28/06/17	To award best projects, promote the Programme	Beneficiaries of the Programme, general audience	Exceptional initiatives realised under the Programme	- awards - article	Best projects awarded  General audience informed about the Programme	Visibility according to the requirements
Programme	Communicate	Beneficiaries,	Updates from	- updated	Applicants provided with	Visibility according to



website	with beneficiaries and general audience	authorities, general public	the life of the Programme	website	practical information General public informed about the Programme	the requirements
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#### 4.4.2 Summary of review by applicants/beneficiaries

Not applicable, no such activities within the reporting period.

#### 4.4.3 Participation of EU Delegations

Representative of EU Delegation to Ukraine participated in Programme Annual Conference in Bukovel (October 2016) and working meeting with National Authorities in Kiev (March 2017).

#### 4.4.4 Programme website and social media

The website for the Programme 2014-2020 was prepared (consultations with the IT company responsible for the development of the website, preparing the content etc.)

#### 4.4.5 Publications

The Joint Operational Programme document was prepared graphically and printed out in English.

### 4.5. Other Technical Assistance activities

All activities undertaken in the reported period in relation to the functioning of the Programme bodies were financed from Technical Assistance of the ENPI Cross-Border Cooperation Programme Poland-Belarus-Ukraine 2007-2013.

## 5. FORECAST FOR THE FOLLOWING YEAR

### 5.1 Programme and beneficiaries' activities

#### 5.1.1 Summary of main programme activities

Within first six months of the next reporting period (1.07.2017 – 31.12.2017) following main activities took place:

- assessment of FAFs submitted within all TOs within 1<sup>st</sup> call for proposals,
- state aid assessment and external experts assessment of FAFs,
- two meetings of PSC in October (TO HERITAGE) and November (TO ACCESSIBILITY and TO BORDERS) – recommendations for projects to be financed within the Programme,
- two JMC meetings in Minsk (October, 2017) and in Lviv (December, 2017) - decisions on selection of projects to be financed, Programme budget reallocations acceptance,
- signature of four Service Contracts,
- signature of first three Grant Contracts (TO Heritage)

Moreover several important decisions were taken via JMC written procedures:

- on approval of implementation documents,
- on the pool of State Aid Experts,
- on tender dossiers for the service contracts to be operational in 2018-2021,

- on approval of amended LIP Application and Implementation Process as well concrete LIP FAFs,
- on approval of Annual Implementation Report for 2016,
- on acceptance of TA budgets for MA, JTS, CCP.

Main activities planned till the end of 2018 include:

- request for the first advance payment from the EC;
- preparation and signature of Grant Contracts for projects within 1<sup>st</sup> CfP,
- signature of LIPs contracts,
- PCS meeting (TO SECURITY),
- JMC meeting (TO SECURITY),
- preparation of Application Pack for the 2<sup>nd</sup> CfP for microprojects, meeting of the Working Group,
- organization of the 2<sup>nd</sup> CfP for microprojects,
- organization of partner search forums for applicants of the 2<sup>nd</sup> CfP for microprojects,
- organization of trainings for applicants of the 2<sup>nd</sup> CfP for microprojects,
- organization of trainings for beneficiaries (1<sup>st</sup> CfP),
- preparation of Annual Report for the next reporting period;
- Annual conference for the Programme combined with the celebration of of the 15<sup>th</sup> anniversary of PL-BY-UA cross-border cooperation

#### 5.1.2 Summary of main project beneficiaries' activities

Main beneficiaries' activities shall include:

- Grant Contract signature,
- partnership agreements signature;
- concluding contracts with external auditors,
- project implementation,
- participation in trainings organised by the JTS,
- submission of first Start-up reports.

## 5. 2 Updated Audit Strategy<sup>2</sup>

### 5.3 Detailed Work Programme of the MA<sup>3</sup>

### 5.4 Financial plan and planned use of the technical assistance

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<sup>2</sup> Depending on the date of submission of the Audit Strategy (as per article 28.5 of the IR) the update may not be needed in the report for the year ending by June 2017, unless there has been a change or unforeseen event that needs to be reported.

<sup>3</sup> In accordance with 24(a) and 24(b) of ENI CBC IR

## **5.5 Annual Monitoring and Evaluation Plan**

## **5.6 Annual Information and Communication Plan**