

Assessment grid – Application Form (AF)

I. General information about the project

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| 1. Reference number | |
| 2. Project title | |
| 3. Lead Partner/country | |
| 4. Project Partners | |
| 4.1 Project Partner 1/country | |
| 4.2 Project Partner 2 ¹ /country | |
| 5. Priority / specific objective (SO) under which funding is requested | |
| 6. Application form assessed by (name, surname, position, institution) | |
| 7. Date of the MC approval | |

II. Formal assessment

| 1. Administrative criteria | | Reference point | YES/ NO | Comments |
|----------------------------|--|--|------------|----------|
| 1. | The AF was submitted in the electronic system WOD2021 (CST2021) before the application deadline | WOD2021, submission date | YES/ NO | |
| 2. | All obligatory fields were filled in, all required supporting documents (Annexes A1-A17) are submitted in the electronic system. The AF and required annexes are in English ² | AF | YES/ NO | |
| 3. | The annexes to the AF are: <ul style="list-style-type: none"> ▪ signed by authorised persons ▪ consistent with the project ▪ valid ▪ in compliance with Polish/ Ukrainian laws (if relevant) | PM ³ (p. 6.4)/ annexes to AF | YES/ NO | |
| 4. | The Declaration by the Lead Partner was submitted, properly filled in, dated and signed by the legal representative (head of the organisation or another authorised person(s)) | Declaration by the Lead Partner (Annex A2) | YES/ NO | |
| 5. | The separate Partnership statement was submitted, properly filled in, dated and signed by the authorized representative(s) of each Partner (including Lead Partner) | Partnership statement(s) (Annex A3) | YES/ NO | |
| 6. | The correct registration numbers of all Partners are indicated in the AF | AF | YES/ NO | |

| 2. Eligibility Check | Reference point | YES/ NO | Comments |
|----------------------|-----------------|------------|----------|
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¹ Add as many rows as needed.

² Annexes A4, A5, A6, A8, A9, A12 may be submitted in national languages (in case of A9 a summary in English is provided).

³ Programme Manual – Regular projects. Part 1 – application.

| Compatibility with the Programme priorities/ specific objectives | | | | |
|---|---|---|------------|--|
| 1. | The project is covered by the Programme priority/ SO open in the CfPs: the overall/specific objectives of the project correspond to the Programme Priority/specific objective selected and potential actions specified therein (the project can only be attributed to a single priority/ SO) | AF (section <i>Additional information</i> , p. 15)/ PM (ch. 2, p. 3.1) | YES/ NO | |
| 2. | The project will contribute to the achievement of at least one Programme result and output indicators | AF (section <i>Project indicators</i>)/ PM (p. 5.6) | YES/ NO | |
| Partnership eligibility | | | | |
| 3. | The partnership composition is eligible – the project will be implemented by at least one Partner from Poland and one from Ukraine | AF (section <i>Project partners</i>)/ PM (p. 4.5) | YES/ NO | |
| 4. | Has any Partner been involved in or supporting directly or indirectly the Russian military aggression on Ukraine? ⁴ | AF (section <i>Project partners</i>), lists, data bases | YES/ NO | |
| 5. | It is declared and convincingly proved that <u>at least three</u> out of four cooperation criteria have been met by the project proposal: <ul style="list-style-type: none"> ▪ joint project preparation (obligatory) ▪ joint project implementation (obligatory) ▪ joint project staff (optional) ▪ joint project financing (optional) | AF (section <i>Additional information</i> , p. 19)/ PM (p. 4.4) | YES/ NO | |
| 6. | One Partner out of Project Partners plays the role of the Lead Partner | AF (section <i>Information about the Applicant</i>)/ PM (p. 4.3) | YES/ NO | |
| Eligibility of Partners | | | | |
| 7. | The Lead Partner and all Partners meet the eligibility criteria | AF (section <i>Applicant and project partners</i>)/ PM (p. 4.5) | YES/ NO | |
| Eligibility of the project and costs | | | | |
| 8. | The project is eligible under criterion of its location. If applicable – the justification for activities outside the Programme area is provided | AF (sections <i>Project; Project location; Additional information</i> , p. 18)/ PM (p. 4.5) | YES/ NO | |

⁴ Based on:

- art. 51.1 COUNCIL REGULATION (EU) No 833/2014 of 31 July 2014 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine,
- art. 7.1 Act as of 13.04.2022 on special solutions in the field of counteracting supporting aggression against Ukraine and serving the protection of national security,
- list of persons and entities against whom sanction measures will be applied on the website of the Public Information Bulletin of the Minister of Internal Affairs and Administration,
- publicly available national data bases to verify if bodies are associated with entities supporting aggression against Ukraine.

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| 9. | The duration of the project is equal to or shorter than 24 months | AF (section <i>Project</i>)/ PM (p. 1.5) | YES/ NO | |
| 10. | The requested EU contribution is within the range of 200 000 – 2 500 000 EUR | AF (sections <i>Project budget, Budget summary</i>), PM (ch. 9) | YES/ NO | |
| 11. | All planned costs are eligible, in line with the Programme Manual. The costs are properly calculated | AF (sections <i>Project budget, Budget summary</i>)/ PM (ch. 8, 9) | YES/ NO | |
| 12. | The requested EU contribution is equal to or lower than 90% of the total eligible costs. The Partners' financial contribution is equal to or higher than 10% of the total eligible costs (minimum percentage required) | AF (sections <i>Project budget, Budget summary, Co-financing sources</i>)/ PM (ch. 8, 9) | YES/ NO | |
| 13. | Activities planned are not funded from other sources | AF (sections <i>Project budget, Budget summary, Co-financing sources</i>) | YES/ NO | |
| Horizontal principles | | | | |
| 14. | a) The project contributes to equal opportunities and non-discrimination, including accessibility for persons with disabilities: <ul style="list-style-type: none"> ▪ The project contains analysis of barriers and needs of persons with disabilities or other groups that are particularly exposed to discrimination in the context of the project area/theme; ▪ The project contains activities which do not discriminate against specific groups of people on the basis of age, disability, race or ethnic origin, religion or belief, sexual orientation⁵; ▪ The project activities, including horizontal activities (promotion and management), are accessible to everyone, regardless of gender, age, disability, race or ethnic origin, religion or belief, sexual orientation, with particular emphasis on people with disabilities; ▪ The project outputs are accessible to everyone / in line with the principle of universal design | AF (sections <i>Work package; Additional information</i> , p. 112)/ PM (p. 3.3) | YES/ NO | |
| | b) The project contributes to equality between women and men and integrating the gender perspective: <ul style="list-style-type: none"> ▪ The project contains activities to comply with and promote the principle of equality between women and men to ensure equal access of representatives of all sexes to participation in | AF (sections <i>Work package; Additional information</i> , p. 112)/ PM (p. 3.3) | YES/ NO | |

⁵ According to Article 9(3) of Regulation (EU) 2021/1060, the projects with Partners representing municipalities that have taken discriminatory measures such as signing the anti-LGBT declarations **cannot be granted**

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|---------------------------------------|--|---|--------------------------|--|
| | <p>project management, project activities and project outputs;</p> <ul style="list-style-type: none"> The planned activities in the area of project promotion include building a message free from gender stereotypes | | | |
| | c) Project is in line with 'do no significant harm' (DNSH) rule (in case of planned investments in infrastructure, the assessment basing on the filled in declaration – annex to AF) | AF (sections <i>Work package; Additional information</i> , p. 113)/ PM (p. 3.3) | YES/NO | |
| State aid further verification | | | | |
| 15. | State aid in the project shall be further verified by the state aid expert | NA | YES/NO | |
| FINAL ASSESSMENT: | | POSITIVE | NEGATIVE | |
| | | <input type="checkbox"/> | <input type="checkbox"/> | |
| COMMENTS: | | | | |

III. Quality assessment

| 1. Strategic assessment criteria | | | |
|---|--|---|-----------------------------|
| Assessment questions | Guiding principles for the assessment | Reference point | Numerical assessment |
| 1. Project's context (relevance and strategy) | a) The problems and needs that justify the necessity of project implementation are precisely defined and described | AF (Section <i>Additional information</i> , p. 14) | /6 |
| <i>How well is a need for the project justified?</i> | b) The project: <ul style="list-style-type: none"> is relevant to the particular identified problems/needs; is relevant to particular constraints of the target regions (references to regional strategies); is likely to have a tangible impact on its target groups | AF (section <i>Additional information</i>) | /3x4 (12) |
| 30 p. | c) The project will contribute to achievement of the selected Programme priority and specific objective and demonstrates added value to implementation of the Programme strategy | AF (section <i>Additional information</i> , p. 15, p. 16) | /6 |
| | d) The project is complementary and brings added value to other initiatives in the field – it adds to the so far achievements and builds on them. The results of other initiatives are used in the project. | AF (section <i>Additional information</i> , p. 111) | /6 |
| 2. Cross-border cooperation potential and partnership | a) The project contributes to strengthening of cross-border cooperation: <ul style="list-style-type: none"> it has objectives of common interest important for both sides of the border; the results shall benefit both sides of the border (the project's focus is not on individual country or region but rather on cooperation and shared ownership within and between neighbouring countries); | AF (section <i>Additional information</i> , p. 17) | /3x4 (12) |
| <i>What added value does the cross-border</i> | | | |

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|---|--|---|------------|
| <i>cooperation and that partnership bring?</i> | <ul style="list-style-type: none"> ▪ the cross-border cooperation generates synergy effect (thanks to the Partners' cooperation, the results of the project may have stronger impact, which would not be possible without their cooperation) | | |
| 24 p. | <p>b) The partnership proposed is adequate to the addressed problem:</p> <ul style="list-style-type: none"> ▪ it is proven that Partners have sufficient experience, expertise and competences in the field as well as sufficient capabilities (financial, personnel, etc.); ▪ there is a clear benefit from cooperating in the proposed project partnership (the results cannot be fully achieved without it), Partners share their experience, methods, models, data, ideas, know-how, knowledge etc., each Partner plays an important and well defined role in the project, the division of tasks between the cross-border Partners is balanced | AF (section <i>Applicant and project partners</i> , p. 19) | /2x6 (12) |
| <p>3. Project's contribution to the Programme's expected results and outputs</p> <p><i>To what extent will the project contribute to the achievement of the Programme's objectives?</i></p> <p>6 p.</p> | <p>The project's contribution to the achievement of the Programme output and result indicators is:</p> <ul style="list-style-type: none"> ▪ significant ▪ realistic ▪ well proven in the description | AF (sections <i>Project Indicators; Additional information</i> , p. 15) | /3x2 (6) |
| Total score | | | /60 |

| 2. Operational assessment criteria | | | |
|--|--|---|-----------------------------|
| Assessment questions | Guiding principles for the assessment | Reference point | Numerical assessment |
| <p>1. Overall logic of the project</p> <p>6 p.</p> | <p>a)</p> <ul style="list-style-type: none"> ▪ The overall design of the project is coherent. ▪ There is a clear link between problem addressed and proposed activities, results and objectives. ▪ The intervention logic and project plan are clear and feasible | AF (section <i>Additional information</i>) | /3x1 (3) |
| | <p>b)</p> <ul style="list-style-type: none"> ▪ The project's indicators have been properly chosen, they are coherent with the addressed problem and expected project impact. ▪ Foreseen products will lead to achievement of the results. ▪ Output and result indicators cover all main parts of the project and they are properly calculated | AF (section <i>Project indicators</i>) | /3x1 (3) |

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| 2. Action plan and project organisation 7 p. | a) Proposed activities ensure achievement of the expected deliverables and results. They are practical and consistent with the project's objectives and expected results. <u>If applicable</u> - activities outside the Programme area are duly justified and clearly benefit the Programme area. <u>If applicable</u> - a detailed description of the infrastructure investment(s) and its (their) location is/are included in the application | AF (sections Work package; <i>Additional information</i> , p. 18) | /3 |
| | b) <ul style="list-style-type: none"> ▪ Schedule of activities is planned effectively and will enable their implementation on time, in consistence with the whole project, ▪ Adequate time is foreseen for each activity's implementation | AF (section <i>Work package</i>) | /2x1 (2) |
| | c) <ul style="list-style-type: none"> ▪ The organizational issues crucial for the project are clearly described in the application, ▪ They are adequate for implementation of the project, taking into account its size and complexity | AF (section <i>Work package</i>) | /2x1 (2) |
| 3. Budget 10 p. | a) <ul style="list-style-type: none"> • The financial plan and the project budget are in line with the principles of sound financial management, • The budget presents well ratio between costs and expected effects | AF (sections <i>Project budget</i> , <i>Budget summary</i>) | /2x2 (4) |
| | b) All costs are necessary and clearly linked to the activities planned | AF (sections <i>Project budget</i> , <i>Budget summary</i>) | /2 |
| | c) The project budget appears proportionate to the: <ul style="list-style-type: none"> ▪ proposed action plan and project outputs, ▪ project's contribution to the Programme indicators | AF (sections <i>Project budget</i> , <i>Budget summary</i>) | /2x1 (2) |
| | d) <ul style="list-style-type: none"> ▪ The costs are properly calculated and included in appropriate categories, ▪ SCOs are applied in line with the rules | AF (sections <i>Project budget</i> , <i>Budget summary</i>) | /2x1 (2) |
| 4. Sustainable development principle 3 p. | Is the project in line with: <ul style="list-style-type: none"> ▪ environmental protection requirements arising from applicable EU and national law? In case an environmental impact assessment has been carried out for a project, is the result positive (it must be such for the project to receive co-financing)? ▪ the principle of sustainable development? Does, and if yes how, the project take into account the principle of sustainable development at the stages of its preparation and implementation, and the stage of use of the project's products following the completion of its implementation? ▪ the <i>Rules for implementing actions in projects with infrastructure elements to ensure their compliance</i> | AF (section <i>Additional information</i> , p. 113), Annex A15 | /3 |

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|----------------------------------|--|---|------------|
| | <p><i>with the "do no significant harm" principle? (applies to projects with infrastructure elements)</i></p> <p><u>For investments in infrastructure</u> with an expected lifespan of at least five years – how have the risks associated with climate change, considerations regarding climate change adaptation and mitigation, and natural disaster resilience been taken into account?⁶</p> | | |
| 5. Horizontal principles 2 p. | <p>The project has positive impact on horizontal principles:</p> <ul style="list-style-type: none"> ▪ the principle of equal opportunities, non-discrimination⁷, including accessibility for persons with disabilities; ▪ the principle of equality between women and men | AF (section <i>Additional information</i> , p. 112) | /2 |
| 6. Readiness 3 p. | <p>The project is ready for implementation.</p> <p>Documents necessary to start implementation are in the possession of the Partners</p> | AF (section <i>Additional information</i> , p. 116) | /3 |
| 7. Durability 5 p. | <p>a)</p> <ul style="list-style-type: none"> • Project is likely to have a long-lasting impact on its target groups. The project main outputs will be further used once the project has ended. <p>The expected results of the proposed project are durable:</p> <ul style="list-style-type: none"> ▪ financially – there are sources of revenue for covering all future operating and maintenance costs during the period of project results durability, for financing of follow-up activities etc. ▪ institutionally – there are structures that would allow the results of the project to be continued after the end of the action – local “ownership” of project results | AF (section <i>Additional information</i> , p. 110) | /3x1 (3) |
| | <p>b) Project is likely to have multiplier effects (including scope for replication and extension of the outcome of the project and dissemination of information)</p> | AF | /2 |
| 8. Communication 4 p. | <p>a) Communication plan and goals are consistent with the scope of the project and its objectives</p> | AF (Annex A1) | /2 |
| | <p>b) Communication activities are feasible and effective in achieving the communication goals. Communication tools and target values are adequately defined.</p> <p>Target groups for the communication activities are properly specified and they are linked with the project</p> | AF (Annex A1) | /2 |
| Total score | | | /40 |

| | Strategic assessment | Operational assessment |
|------------------------|-----------------------------|-------------------------------|
| Score | /60 | /40 |
| Total score | / 100 | |
| FINAL ASSEMENT: | POSITIVE | NEGATIVE |
| | <input type="checkbox"/> | <input type="checkbox"/> |

⁶ "Technical guidance on the climate proofing of infrastructure in the period 2021-2027" (2021/C 373/01).

⁷ According to Article 9(3) of Regulation (EU) 2021/1060 and EU Partnership agreement with Poland, the projects with Partners representing municipalities that have taken discriminatory measures such as signing the anti-LGBT declarations **cannot be granted**.

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| COMMENTS: | |
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Important!

The maximum score the application can obtain is 100 points.

The minimum score the application has to achieve in order to be taken into consideration for possible financing is **70 points**.

In addition, it has to achieve at least **60% from each of the parts of the quality assessment**, i.e.:

- at least 36 points from the strategic assessment and
- at least 24 points from the operational assessment.

Please note, that $36 + 24 = 60$, so the application cannot receive minimum score under both of these assessments.

Additionally, in the strategic assessment, the **minimum score** for point 2. “Cross-border cooperation potential and partnership” (CBC criterion) is **12 points** (out of 24).

The project assessed with less than 12 points for CBC criterion will not be recommended for funding.